Original Research

Physical Therapy and Nusinersen Impact on Spinal Muscular Atrophy Rehabilitative Outcome

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Abstract

Introduction: Spinal muscular atrophy (SMA) is a progressive neurological disease with autosomal recessive transmission that affects motor neurons, causing their loss and resulting in muscle waste and motor deficiency. Nusinersen, the first SMN2 pre-mRNA targeted therapy approved by the Food and Drug Administration and the European Medicines Agency, has demonstrated high efficacy in improving motor function, as well as respiratory and nutritional statuses. Materials and Methods: We observed 55 patients (children/adolescents) diagnosed with spinal muscular atrophy (SMA), who received nusinersen therapy. To investigate the benefits of physical therapy on rehabilitation outcomes, we compared the motor evolution of patients who received nusinersen and performed daily physical therapy (study group) to those of the control group, who received only nusinersen therapy. Results: Motor skill improvements were statistically significantly (p < 0.001) higher in the study group, being almost four times better (12.66%), effect size, in comparison to the control group (3.18%). Conclusions: Physical therapy has provided superior results for those who receive it on a regular basis. These results include the correction of posture, reduction in stiffness, expansion of the range of motion and strengthening of muscles, thus allowing patients to do more movements and boosting their ability to perform everyday tasks.

Keywords: spinal muscular atrophy; nusinersen; physical therapy; neuromuscular disorder; motor functioning

1. Introduction

Spinal muscular atrophy (SMA) is a neurodegenerative progressive disease [1] with autosomal recessive inheritance [2]. It affects motor neurons [3] in the anterior medullar horn and brainstem, thus contributing to their apoptosis, leading to muscle waste [4]. The consequent motor deficiencies are predominantly axial as well as involving the shoulder and pelvic girdles. It is the second most common genetic disease after cystic fibrosis [5] and it is also known to be a leading cause of infant mortality [6].

The disease substrate is the insufficient level of “Survival Motor Neuron” (SMN) protein [7], caused by the presence of homozygous [8] or compound heterozygous deletions or deleterious point mutations in the SMN1 gene [9]. This gene encodes approximately 90% [10] of the total SMN protein. The SMN2 gene is very similar to SMN1 [11] and differs from it only by two bases in exon 7 [12]. As a result of this difference, SMN2 only encodes 10% [13] of the functional SMN protein.

SMA can be functionally classified into five types [14] based on the age of onset and the achieved motor milestones: 0, I, II, III, and IV [15].

Type 0 [16] has an onset before birth and survival is less than 6 months. It’s considered the most rare form of SMA (accounting for less than 1% of all cases) and manifests itself during pregnancy and is defined by the absence of fetal movements. Children with this disease are never able to sit or control their heads and they have significant muscle weakness and hypotonia as well as facial diplegia, contractures, a lack of responsiveness to stimuli, dysphagia and breathing insufficiency. The presence of congenital heart abnormalities is also common in a high majority of these patients. Death occurs before the age of six months, and in some cases, it occurs within the first few weeks of life [17,18].

Type I (also called Werdnig-Hoffmann disease [19]) occurs during early infancy (before the age of 6 months) [20], being the most frequent type of SMA (almost 60% of all the cases) [21,22]. The disease is also called SMA with infantile onset. This group of patients is very hypotonic, with predominantly proximal muscle weakness, weak cry, breathing insufficiency or abdominal breathing, difficulties in sucking or swallowing, tongue fasciculations and a high risk of aspiration pneumonia; these patients never acquire sitting, and in the absence of assisted ventilation, they die within the first two years of life due to breathing insuffi-
There are currently three therapies approved by the Food and Drug Administration (FDA) [30] and the European Medicine Agency (EMA) [31]. These three promising therapies consist of either targeting of SMN2 gene pre-mRNA (nusinersen [32] and risdiplam [33]) or gene therapy (GT) using an AAV-modified healthy SMN gene [34].

Nusinersen [35] is the first SMN2 pre-mRNA targeted therapy approved (December 2016 in the United States of America and May 2017 in Europe) [36,37]. It consists of modified antisense oligonucleotides [38] targeted to bind to the intronic splice silencer located on intron 7 of SMN-2 pre-messenger RNA. This in turn promotes exon 7 inclusion at the SMN2 messenger RNA level [35]. Thus, a complete and functional SMN protein is obtained. Nusinersen is given to patients of all ages via intrathecal administration, with the first four loading doses given in the first two months of treatment, followed by one injection every four months for life [39,40].

Nusinersen demonstrated a high level of efficacy in improving motor function [41,42]. Several studies have shown that nusinersen improves patients’ respiratory [43] and nutritional [43] statuses. Hospitalization time was reduced with no severe specific adverse reactions post-administration. Rare possible adverse reactions are mainly those associated with lumbar punctures (i.e., headache, vomiting, low back pain, etc.) [40,44].

For a better outcome, it is mandatory to administer as soon as possible [45] — ideally prior to the onset of symptoms, any of the 3 approved modifying disease drugs—nusinersen [46], onasemnogene abeparvovec-xioi [47] or risdiplam [48]. Besides these treatments, it is critical to follow correct and comprehensive standards of care [49,50], one of them being physical therapy (PT) [21,51].

One of the SMA PT goals is to maintain a proper posture [52] and to improve motor functionality. Trunk
and limb orthoses, as well as other advanced assistive-rehabilitative devices, such as mobile/mechatronic apparatuses [53] for increasing independence, may be beneficial depending on the individual patient’s requirements.

Maintaining the range of motion [54] and increasing muscle strength [27] are necessary for achieving better mobility [51] and functionality as it can be objectified on the Hammersmith Functional Motor Scale Extended (HFMSE) [55,56]. Walking assistance and other activities of daily living should be encouraged for these patients, to improve their quality of life [57–59].

The abstract of a preliminary version of this study, with a less thorough approach, was presented at the National Congress of Physical and Rehabilitation Medicine and Balneology in 2020 [60].

2. Materials and Methods

This study aims to objectify better outcome in SMA patients that receive nusinersen treatment and physical therapy.

We included in this retrospective observational study 55 SMA patients (29 females and 26 males), aged 0–17 years: 20 type I, 26 type II and 9 type III patients.

All patients were diagnosed by paediatric neurologists and treated by the multidisciplinary team from the National Teaching Center for Children’s Neuro-psychomotor rehabilitation “Dr. Nicolae Robanescu” (NTCCNNR). SMA diagnosis was completed by genetic lab tests that confirmed exon 7 (+/- exon 8) homozygous deletion and the presence of 2 copies of SMN2 for patients with types I and II, and 3 copies of SMN2 for type III SMA patients.

All patients’ parents signed the informed consent and the study has been approved by the local committee of ethics from NTCCNNR (Registration No 5028/06.03.2021).

For uniformity in care, all patients/parents were informed about the standards of care and have been taught how to apply them correctly, being thoroughly observed in this matter.

Unfortunately, not all of them could benefit from daily PT, due to their home locations, far from any facility or professional healthcare. Therefore, we could divide all 55 patients into 2 groups: those who received daily PT in addition to nusinersen treatment (named PT-N group) and those who received only nusinersen, with PT less than 1 session/week, PT (N group). There were thus 39 patients (20 females and 19 males) in the PT-N group and 16 patients (9 females and 7 males) in the N group.

Inclusion Criteria (for the PT-N group):

- SMA genetic confirmation of SMN1 gene homozygous deletion;
- Symptomatic SMA type I, II, or III;
- Nusinersen initiated in our clinic (thus all tests were performed by the same physical therapists, for uniformity in evaluation);

PT at least 5 times per week.

Exclusion Criteria (patients thus included in N group):

- PT less than 1 session/week;
- Patients who had spine surgery and needed a prolonged bed-side period.

The patients were evaluated by licensed physical therapists with pediatric SMA experience. The assessment was performed between October 2018 and June 2021 at the NTCCNNR. The Children’s Hospital of Philadelphia Infant Test of Neuromuscular Disorders - CHOP INTEND [61] was performed in SMA type I patients, while HFMSE [55,56] in type II and III patients. The evaluations were carried out prior to starting nusinersen, six months after initiation, and one year after initiation, respectively.

Our working hypothesis was that PT-N group patients have better results in CHOP and HFMSE score than N group patients.

Statistical calculations were performed using Microsoft Excel (Microsoft Corporation, Redmond, WA, USA) for preparing the data and SPSS 24.0 version for Windows (IBM Inc., Chicago, USA) for the analysis itself. We applied t test after checking data normality distribution with the Kolmogorov–Smirnov test (KS) [62–64]. The Paired-Samples T-test [63,65,66] and Mann–Whitney Test [67] were used to assess differences within and between groups, respectively, effect size. A p-value less than 0.05 was considered statistically significant and the confidence level was 95%, with related intervals afferent to the respective calculated averages [68,69].

According to SMA type, the percent yields for the evolution of the CHOP/HFMSE scores were calculated. The percent yield is the ratio of the actual yield (the actual improvement in motor development after PT) to the theoretical yield (the maximum possible value of motor development), multiplied by 100. Therefore, T1 compares the initial scores (before starting nusinersen) to the ones obtained 6 months later (from nusinersen initiation) and T2 compares the initial scores to those achieved 12 months after nusinersen initiation in both groups.

3. Results

Patients with SMA types I and II had 2 copies of SMN2, while those with type III had 3 copies.

The evolution of motor function for the two groups (PT-N group and N group, respectively) is shown in Fig. 1 (we used CHOP for type I patients and HFMSE for type II and type III patients).

The calculated yield for CHOP/HFMSE score evolution after T1 is shown in Table 2.

At T1, for the PT-N group: CHOP yield for SMA type I patients was between 4.17% and 21.05%, mean value 13.62%, HFMSE for SMA type II was between 0 and 10.53%, mean value 5.86%, while HFMSE for SMA type III patients was between 10% and 33.33%, mean value 19.83%. For the N group: CHOP yield for SMA type I pa-
Fig. 1. Motor function evolution during treatment, for SMA type I, II, and III, for PT-N and N groups. Legend: ■ first evaluation; ▲ second evaluation; □ third evaluation. A1, PT-N group, SMA type I; A2, N group SMA type I; B1, PT-N group, SMA type II; B2, N group SMA type II; C1, PT-N group, SMA type III; C2, N group SMA type III.

Table 2. Calculated yield for CHOP/HFMSE score evolution after T1.

<table>
<thead>
<tr>
<th></th>
<th>PT-N group</th>
<th>N group</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>N</td>
<td>Mean Minimum Maximum</td>
</tr>
<tr>
<td>SMA type I CHOP</td>
<td>18</td>
<td>13.62% 4.17% 21.05%</td>
</tr>
<tr>
<td>SMA type II HFMSE</td>
<td>16</td>
<td>5.86% 0.00% 10.53%</td>
</tr>
<tr>
<td>SMA type III HFMSE</td>
<td>5</td>
<td>19.83% 10.00% 33.33%</td>
</tr>
</tbody>
</table>

Legend: Yield represents the ratio of the actual improvement in motor development, in a selected period, to the theoretical yield, quantified by the difference between two consecutive evaluations (yield 1 represents the difference between T1 and T0 motor score evaluations).

T0, first evaluation, right before nusinersen initiation; T1, second evaluation, six months after nusinersen initiation.
Fig. 2. Yield frequency distribution in PT-N group (A–after T1, B–after T2) and in N group (C–after T1, D–after T2). Legend: yield 1 (after T1) and yield 2 (after T2) for PT-N group and N group.

Table 3. Calculated yield for CHOP/HFMSE score evolution after T2.

<table>
<thead>
<tr>
<th></th>
<th>PT-N group</th>
<th>N group</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>N</td>
<td>Mean</td>
</tr>
<tr>
<td>SMA type I CHOP</td>
<td>18</td>
<td>33.22%</td>
</tr>
<tr>
<td>SMA type II HFMSE</td>
<td>16</td>
<td>10.16%</td>
</tr>
<tr>
<td>SMA type III HFMSE</td>
<td>5</td>
<td>34.28%</td>
</tr>
</tbody>
</table>

Legend: Yield represents the ratio of the actual improvement in motor development, in a selected period, to the theoretical yield, quantified by the difference between two consecutive evaluations (yield 1 represents the difference between T1 and T0 motor score evaluations).

T0, first evaluation, right before nusinersen initiation; T1, second evaluation, six months after nusinersen initiation; T2, third evaluation, twelve months after nusinersen initiation.

Table 4. Paired Samples Statistics for yield 1 (after T1) and yield 2 (after T2) for PT-N group.

<table>
<thead>
<tr>
<th></th>
<th>Mean</th>
<th>N</th>
<th>Std. Deviation</th>
<th>Std. Error Mean</th>
</tr>
</thead>
<tbody>
<tr>
<td>Pair 1</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>yield 1</td>
<td>11.23%</td>
<td>39</td>
<td>7.44%</td>
<td>1.19%</td>
</tr>
<tr>
<td>yield 2</td>
<td>23.89%</td>
<td>39</td>
<td>15.93%</td>
<td>2.55%</td>
</tr>
</tbody>
</table>

Legend: Yield represents the ratio of the actual improvement in motor development, in a selected period, to the theoretical yield, quantified by the difference between two consecutive evaluations (yield 1 represents the difference between T1 and T0 motor score evaluations, yield 2 represents the difference between T2 and T0 motor score evaluations).

T0, first evaluation, right before nusinersen initiation; T1, second evaluation, six months after nusinersen initiation; T2, third evaluation, twelve months after nusinersen initiation.

Table 5. Paired Samples Correlations for yield 1 and yield 2 for PT-N group.

<table>
<thead>
<tr>
<th></th>
<th>N Correlation</th>
<th>Sig.</th>
</tr>
</thead>
<tbody>
<tr>
<td>Pair 1</td>
<td>0.802</td>
<td>0.000</td>
</tr>
</tbody>
</table>

Legend: Yield represents the ratio of the actual improvement in motor development, in a selected period, to the theoretical yield, quantified by the difference between two consecutive evaluations (yield 1 represents the difference between T1 and T0 motor score evaluations, yield 2 represents the difference between T2 and T0 motor score evaluations).

T0, first evaluation, right before nusinersen initiation; T1, second evaluation, six months after nusinersen initiation; T2, third evaluation, twelve months after nusinersen initiation.
Table 6. Paired Samples Test for yield 1 and yield 2 for PT-N group.

<table>
<thead>
<tr>
<th>Paired Differences</th>
<th>t</th>
<th>df</th>
<th>Sig. (2-tailed)</th>
</tr>
</thead>
<tbody>
<tr>
<td>Mean</td>
<td>Std. Deviation</td>
<td>Std. Error Mean</td>
<td>95% Confidence Interval of the Difference</td>
</tr>
<tr>
<td>Pair 1 yield 1 &amp; yield 2</td>
<td>−12.66%</td>
<td>10.91%</td>
<td>1.75%</td>
</tr>
</tbody>
</table>

Legend: Yield represents the ratio of the actual improvement in motor development, in a selected period, to the theoretical yield, quantified by the difference between two consecutive evaluations (yield 1 represents the difference between T1 and T0 motor score evaluations, yield 2 represents the difference between T2 and T0 motor score evaluations).

T0, first evaluation, right before nusinersen initiation; T1, second evaluation, six months after nusinersen initiation; T2, third evaluation, twelve months after nusinersen initiation.

\[ t(38) = −7.25; \ p < 0.001. \]

At 6 months after nusinersen initiation, the calculated yield for PT-N group was 11.23 ± 7.44% and at 12 months 23.89 ± 15.93% (Table 4). The analysis has shown a strong (0.802) and significant (\( p < 0.0001 \)) correlation between yields (Table 5).

Based on the means of the two yields and the t-value direction (Table 6), we can conclude that there is a statistically significant (\( p < 0.001 \)) improvement in motor functionality of PT-N group patients at 12 months after nusinersen initiation, from 11.23 ± 7.44% at 6 months, to 23.89 ± 15.93%, respectively an improvement of 12.66 ± 10.91%.

Table 7. Paired Samples Statistics for yield 1 (after T1) and yield 2 (after T2) for N group.

<table>
<thead>
<tr>
<th>Paired Samples Statistics</th>
<th>Mean</th>
<th>N</th>
<th>Std. Deviation</th>
<th>Std. Error Mean</th>
</tr>
</thead>
<tbody>
<tr>
<td>Pair 1 yield 1</td>
<td>2.19%</td>
<td>16</td>
<td>1.88%</td>
<td>0.47%</td>
</tr>
<tr>
<td>yield 2</td>
<td>5.37%</td>
<td>16</td>
<td>4.39%</td>
<td>1.10%</td>
</tr>
</tbody>
</table>

Legend: Yield represents the ratio of the actual improvement in motor development, in a selected period, to the theoretical yield, quantified by the difference between two consecutive evaluations (yield 1 represents the difference between T1 and T0 motor score evaluations, yield 2 represents the difference between T2 and T0 motor score evaluations).

T0, first evaluation, right before nusinersen initiation; T1, second evaluation, six months after nusinersen initiation; T2, third evaluation, twelve months after nusinersen initiation.

At 6 months after nusinersen initiation, the calculated yield for N group was 2.19 ± 1.88% and at 12 months 5.37 ± 4.39% (Table 7). The analysis has shown no significant correlation between yields (Table 8).

Based on the means of the two yields and the t-value direction (Table 9), we can conclude that there was a statistically significant improvement in the health condition.
of N group patients at 12 months after nusinersen initiation, from 2.19 ± 1.88% at 6 months after nusinersen initiation, to 5.37 ± 4.39% \( (p < 0.05) \), respectively an improvement of 3.18 ± 4.73%.

Compared to the N group, patients in the PT-N group had statistically significant \( (p < 0.001) \) higher progress (almost 4 times) in motor milestones, respectively 12.66%, compared to 3.18%.

### 4. Discussion

Further research is needed to explain the differences in the evolution of type I and type II patients in the PT-N group, as well as the reason why the first have greater improvements. At this moment, we assume it is due to motor neuron loss and chronic denervation in patients with type II that started treatment long after they were diagnosed (as a consequence of the lack of treatment until 2018 - in Romania). However, the human factor should be considered, too. It is obvious that, despite all our measures for uniformity in care, SMA type I patients benefit from more intervention tools and methods.

The limitation of our study consists in lack of SMN2 sequencing’s evaluation in each individual participant. This could provide greater insight into why some patients have a better outcome than others, despite the fact that they are all following the same standards of care.

Nusinersen has been shown to stabilize and improve motor status in children with SMA, slowing and even stopping the disease progression [70], as seen also in our study—patients had motor improvement. It is important to preserve the largest possible number of motor neurons, thus starting the treatment as soon as possible [45,46,71], in order to provide the best outcome.

However, the administration of nusinersen alone is not expected to provide the best outcome in symptomatic SMA patients. In addition to therapy, it is critical that the standards of care [50] be followed completely and correctly.

Correction of posture, reduced stiffness, increased range of motion and muscle strengthening are all benefits provided by PT methods, with better results for those who use them on a regular basis, as we discovered in our study. As patients can perform more movements, this obviously results in a better quality of life, proving that PT is an important tool in SMA treatment.

Therefore, we strongly encourage regular PT sessions (at least 5 times a week—depending on the general health status of the patient) for all SMA patients, especially those with sudden onset of disease and early treatment, as they have the greatest potential for better recovery, thus boosting rehabilitation of motor functions.

### 5. Conclusions

Nusinersen treatment proved to be efficient in SMA patients, as all treated patients improved their motor scores. It is well known that early treatment provides a better outcome [45,46]. Nevertheless, in order to establish if a correlation between the genetic substrates of the patients that had a better evolution than the others exists, even if the same standards of care and treatment were applied, further studies should be considered to overcome actual limits (i.e., lack of SMN2 sequencing’s evaluation).

In order to achieve better motor results, we can conclude that physical therapy is strongly recommended. The use of nusinersen therapy, in conjunction with physical therapy, can result in a more favorable motor outcome.

Therefore, physical therapy, as part of the standards of care in SMA, should be recommended as one of the important and essential tools in SMA treatment.

### Author Contributions

All authors had specific but overall equal contributions in achieving this article. AM, ESS, CS, MCL, GO, LP and CD designed the research study. AM, CS, MCL, GO, LP and CD have been involved in the development of methodology. AM, CS, MCL and CD contributed to validation. AM, CS, MCL, GO, CD and VD analyzed the data. AM, MCL and LP have been involved in conducting a research and investigation process. AM, ESS, CS, MCL, GO, CD and VD provided research resources. AM, MCL and LP have been responsible for data curation. AM, ESS, CS,
families for their unconditional support. SMA standards of care. Last, but not least, we thank our thanks go to those who made possible our knowledge in that sustained our work by the periodic evaluations. Special and care. We bring gratitude to the entire multidisciplinary team (protocol code 5028, date of approval 03/06/2021).

Acknowledgment
We thank our patients and their parents for the support and care. We bring gratitude to the entire multidisciplinary team from NTCCNNR, especially to the physical therapists that sustained our work by the periodic evaluations. Special thanks go to those who made possible our knowledge in SMA standards of care. Last, but not least, we thank our families for their unconditional support.

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Conflict of Interest
The authors declare no conflict of interest.

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