Original Research

Functional Connectivity Alterations During Sleep Deprivation: Investigating Key Brain Regions and Networks

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Abstract

Background: Sleep deprivation (SD) has emerged as a significant public health concern because of its adverse effects on cognition and behavior. However, the influence of circadian rhythms on SD and brain activities has been less studied. This study employed functional magnetic resonance imaging (fMRI) and functional connectivity density (FCD) metrics to investigate the interaction between sleep pressure and circadian rhythms during SD. Methods: Thirty-six volunteers with good sleep habits underwent a sleep deprivation trial. Sleepiness was assessed using the Stanford Sleepiness Scale (SSS) at multiple time points, and fMRI scans were conducted to derive global and local FCD (gFCD and iFCD) values. This study focused on specific brain regions and networks, including the thalamus, the frontoparietal network (FPN), and the default mode network (DMN). Results: Analysis indicated significant changes in gFCD and iFCD values in several key brain regions. A strong correlation was found between sleepiness and both gFCD and iFCD values in certain areas, such as the left superior temporal gyrus and left thalamus. The gFCD values in these regions showed a gradual increase across sessions, while iFCD values in the right superior frontal gyrus decreased. Conclusions: This study revealed that SD leads to enhanced functional activities in the DMN and thalamus and decreased activity in the FPN. These changes in brain activity were significantly correlated with increases in sleepiness, as measured by the SSS. Our findings underscore the importance of understanding the neural underpinnings of SD and could guide future clinical interventions aimed at mitigating its effects.

Keywords: sleep deprivation; brain connectivity; sleepiness; cognitive impairment; cognition disorders

1. Introduction

Sleep deprivation (SD), i.e., a lack of sleep, can lead to numerous physiological, psychological, and behavioral changes [1,2]. Prominent features of SD include poor concentration, increased rate of microsleeps, prolonged reaction times, and reduced productivity [3]. In terms of cognition, SD adversely affects working memory, decision-making, motor control, and attention [4–6]. Given the rapid societal and economic advancements of recent decades, long-term SD has become an increasingly common condition among workers, such that it is now a significant public health concern [7]. Therefore, exploring the effects of SD on sleepiness and neural activities, and subsequently implementing appropriate interventions and treatments, is of high clinical significance.

With the rapid progression of neuroimaging technology, functional magnetic resonance imaging (fMRI) has been extensively employed to study the alterations of brain activities induced by SD [8]. In the past, studies typically explored the effects of SD on behavior and brain activation by comparing data obtained after normal sleep with that obtained after SD [9]. These investigations provided important insights, including demonstrating reduced activation following SD in particular brain regions, such as the thalamus, superior parietal gyrus, inferior frontal gyrus, paracentral lobule, medial frontal gyrus, and supplementary motor area [10,11]. However, they often overlooked the interaction between sleep homeostasis and circadian rhythms, which is an essential aspect of sleep regulation [12]. Previous studies have indicated that (1) cognitive capabilities are affected to varying degrees by SD and that (2) brain activities are closely regulated by circadian signals [13]. Through the collection of sleepiness and fMRI data every 2 hours (from 10:00 PM to 6:00 AM; five data points total), the present study aimed to provide further insight into SD by considering the influence of circadian rhythms. This method provided a more nuanced understanding of how sleep pressure and circadian rhythms interact to affect sleepiness and brain activities during SD.

To improve our understanding of the interaction between sleep pressure and circadian rhythms, we derived functional connectivity density (FCD) data from resting-state fMRI scans [14]. FCD is a quantifiable measure of how each voxel in the brain connects to all other voxels, thereby indicating the local and global information processing efficiency of the brain. An effective metric in
neuroimaging research, FCD has facilitated significant advances in understanding the pathophysiology of various neurological conditions and mental illnesses [15,16]. The Default Mode Network (DMN) is a well-established brain network known to decrease in activity during tasks that demand attention and increase during rest or introspection [17,18]. Over recent years, its importance has been underscored in various cognitive processes, including self-referential thought, mind wandering, and memory consolidation. While many studies have explored the DMN’s general function and role, there is still limited understanding of its behavior under sleep-deprived conditions. Sleep deprivation, prevalent in today’s fast-paced society, has profound cognitive and physiological consequences. Understanding how sleep deprivation impacts the DMN and its associated cognitive processes could provide essential insights into the brain’s adaptive mechanisms during periods of reduced sleep. The Frontoparietal Network (FPN) is a key cognitive control network, crucial for orchestrating attention and executive functions [19]. It dynamically co--operates with other networks to facilitate a broad range of cognitive tasks. Recent literature suggests that the FPN is particularly sensitive to the state of alertness and cognitive load, making it a prime candidate for study under conditions of sleep deprivation [20]. Conversely, the thalamus, nestled deep within the brain, acts as a pivotal relay hub, transmitting sensory and motor signals to the cerebral cortex. More than just a passive relay, the thalamus plays an active role in cognitive functions, sleep regulation, and consciousness. Its central role in information processing makes it a region of interest, especially when considering the impacts of sleep deprivation on brain function [21]. Based on the current understanding of the effects of sleep deprivation on brain function, and considering the interplay between sleep pressure and circadian rhythms, we hypothesized that sleep deprivation would lead to distinct changes in the functional connectivity density within vital brain regions, particularly in the thalamus and in networks such as the DMN and FPN. Furthermore, we anticipated that these neural alterations would correlate with the cognitive deficits typically observed during sleep deprivation periods. By investigating these alterations, we aimed to achieve a more comprehensive understanding of the interaction effect of circadian rhythms and sleep pressure on brain connectivity.

2. Materials and Methods

2.1 Participants

This study was designed as a cross-sectional observational investigation conducted at the Xijing Hospital affiliated with the Air Force Medical University (Xi’an, Shaanxi, China). The research spanned one year, from January 2022 to December 2022. This study primarily recruited undergraduate and postgraduate student volunteers from various colleges in Xi’an, China. The inclusion criteria were: (1) right-handedness; (2) aged 18–30 years; (3) normal intelligence level; and (4) no contraindications for magnetic resonance imaging (MRI) scanning. Participants were excluded if they had a history of alcohol or drug abuse; any neurological condition, mental illness, or sleep disorder; or if they were an extreme “morning type” or “evening type” according to the Munich Chronotype Scale [22]. All participants were asked to record their sleep and wake times on weekdays and weekends. In total, 54 healthy volunteers, consisting of 29 male and 25 female participants with an average age of 22.46 ± 1.81 years, were recruited for this study. All participants were briefed about the purpose and significance of the study and signed an informed consent form. They were also instructed to refrain from consuming caffeine or alcohol for 1 week prior to the MRI scan. Additionally, all participants scored <5 points on the Pittsburgh Sleep Quality Index, indicating good sleep quality. This study was conducted according to the Declaration of Helsinki and received approval from the Clinical Trial Ethics Committee of the Xijing Hospital (Approval No.: KY20183005-1).

2.2 Study Design

Participants were required to visit the laboratory twice. The first visit, which took place 1 week before the trial, involved a brief introduction to the experimental procedure and completion of the informed consent process. Participants were also given a wristwatch (Mini Mitter; Philips Respironics, Murrysville, PA, USA) to monitor their sleep patterns over the following week, during which they were asked to maintain their normal sleep habits. During the second visit, volunteers reported to the laboratory no later than 6:00 PM to start the trial. During the trial, participants could read, watch TV, or surf the Internet, but they were not permitted to engage in strenuous activities or leave the laboratory. Two research assistants ensured participants did not fall asleep during the trial. MRI scans were conducted every 2 hours from 10:00 PM to 6:00 AM (T₁, 10:00 PM; T₂, 12:00 PM; T₃, 02:00 AM; T₄, 04:00 AM; T₅, 06:00 AM); resting-state fMRI data were collected during each scan. Each participant underwent five scans. Heart rate and respiratory rate were monitored during the MRI scans to confirm that participants remained awake. After each scan, participants completed the Stanford Sleepiness Scale (SSS), selecting the statement (among seven) that best described their current state of alertness. During the daytime prior to the sleep deprivation, participants were instructed to maintain their usual daily routine, with certain stipulations. They refrained from extreme physical activities, daytime napping, and the consumption of caffeine or alcohol. Additionally, they were advised to avoid heavy meals close to bedtime and limit their screen exposure to reduce potential blue light interference with their circadian rhythms. To ensure adherence and consistency, participants documented their day’s activities in a brief questionnaire, which provided us with a controlled overview of their pre-experimental behaviors.
2.3 Magnetic Resonance Data Acquisition

MRI data were collected using a Discovery MR750 3.0-T superconducting magnetic resonance scanner (GE, Boston, MA, USA) equipped with an 8-channel head coil. Rubber pads and earplugs were provided to mitigate noise during the scan. Participants were instructed to keep their eyes open throughout the scan. Research assistants confirmed that participants remained awake throughout the entire process. For resting-state fMRI, a single-excitation spin plane echo imaging sequence was performed. An axial scan was acquired with the scanning angle parallel to the anterior-posterior commissure line. The scanning parameters were as follows: echo time, 30 ms; repetition time, 2000 ms; slice thickness, 3.0 mm; slices, n = 36; scanning mode, continuous with no interval; field of view, 240 mm × 240 mm; flip angle, 90°; and matrix, 128 × 128. The total acquisition time was 7 minutes, during which 210 images were collected. To ensure that all participants were free of organic lesions, fluid-attenuated inversion recovery T2-weighted, T2-weighted, and diffusion-weighted MRI images were evaluated by three senior radiologists.

2.4 Image Pre-Processing

In the preprocessing stage of our imaging data, scans were thoroughly reviewed by experienced imaging specialists. They ensured that there were no evident structural abnormalities or overt issues in any of the brain regions of our subjects. Pre-processing of fMRI data was performed using the Data Processing & Analysis of Brain Imaging (http://rfmri.org/DPABI) and Statistical Parametric Mapping 12 (SPM 12; https://www.fil.ion.ucl.ac.uk/spm/) toolboxes in the MATLAB (ver. 2016b; MathWorks, Natick, MA, USA) environment. The pre-processing steps included: (1) conversion of the original Digital Imaging and Communications in Medicine (DICOM) data into an analyzable Neuroimaging Informatics Technology Initiative (NIFTI) format; (2) removal of fMRI data acquired at the first 10 time points for each participant; (3) time slice correction to eliminate inter-slice signal differences caused by varying acquisition times; (4) motion correction to exclude participants with translational movement >3 mm and/or rotational movement >3° during data acquisition, and calculation of the average framewise displacement for each participant for use as a covariate in the subsequent statistical analysis; (5) spatial normalization to align individual fMRI images to Montreal Neurological Institute standard space and resampling of the aligned images to 3 mm × 3 mm × 3 mm; (6) regression analysis using a general linear model with white matter, cerebrospinal fluid, and Friston’s 24 head motion parameters as covariates; (7) bandpass filtering (0.01–0.10 Hz) and detrending to reduce interference by irrelevant noise; and (8) spatial smoothing using a 6 mm × 6 mm × 6 mm full-width at half-maximum Gaussian kernel filter.

FCD is computed through a series of steps. First, whole-brain voxel-wise correlation is performed to identify all voxels that show a significant temporal correlation with the seed voxel. The threshold for significance is set at a correlation coefficient of 0.6. The number of significantly correlated voxels is then determined to generate the global FCD (gFCD) value for the seed voxel. This process is repeated for every voxel in the brain, producing a 3D map of gFCD. The short-range functional connectivity density index (iFCD) is derived in a similar way to the gFCD value, but connections are counted only if significantly correlated voxels are located within a radius of 12 mm. The gFCD reflects the strength and extent of functional connections between spatially distant or interregional brain regions; in contrast, the iFCD provides an index of the degree of local integration within the brain network, reflecting the strength and extent of functional connections between neighboring regions. Finally, normalized FCD values are computed for subsequent statistical analysis. For our study, we adopted a whole-brain approach to ensure comprehensive coverage and understanding of potential neural changes. This meant that we did not limit our analysis to predefined regions but investigated the entirety of the brain to detect any alterations in functional connectivity.

2.5 Statistical Analysis

Demographic data were statistically analyzed using SPSS software (version 24.0; IBM Corp., Armonk, NY, USA). Given the longitudinal nature of the experiment, a one-way repeated-measures analysis of variance (ANOVA) was used to compare differences in SSS values at multiple time points. Post hoc analysis further explored differences in SSS values between pairs of time points. False discovery rate (FDR)-corrected p-values < 0.05 were considered indicative of significant differences. Measurement data conforming to a normal distribution are presented as mean ± standard deviation. Statistical parametric mapping software (SPM 12, London, UK, https://www.fil.ion.ucl.ac.uk/spm/) was employed to analyze the gFCD and iFCD values of the entire brain at multiple time points. Initially, using the factor design module of SPM 12 software, a one-way-repeated measures ANOVA was used to compare brain regions with differing gFCD and iFCD values at multiple time points based on the default grey matter template. The FDR method was applied to correct for multiple comparisons. Subsequently, the gFCD and iFCD values of various brain regions were extracted at each time point for post hoc analysis. A Pearson correlation analysis was performed to determine the correlations between gFCD/iFCD and SSS values within various brain regions. Bonferroni-corrected p-values < 0.05 were considered indicative of a significant difference.
3. Results

Thirty-six volunteers completed the SD trial. All volunteers had good sleep habits; on average, they fell asleep at 10:29 ± 0:17 PM and woke up at 6:27 ± 0:11 AM according to the actigraph data. The average sleep duration was 7.3 ± 1.5 h. A one-way repeated-measures ANOVA of the SSS scores showed a significant main effect of time (F(4,140) = 44.5, p < 0.001). Between-session comparisons revealed significant differences in SSS scores between T1 and T4−5 (T1 vs. T4, p < 0.001; T1 vs. T5, p < 0.001), T2 and T4−5 (T2 vs. T4, p < 0.001; T2 vs. T5, p < 0.001), T3 and T4−5 (T3 vs. T4, p = 0.02; T3 vs. T5, p = 0.01), T4 and T1−3, and T5 and T1−3. The results are shown in Fig. 1. No significant differences were found in framewise displacement values among the five sessions during the fMRI pre-processing steps.

3.2 Correlation Analysis between Sleepiness and Functional Connectivity Density Values

To investigate the influence of sleepiness on functional connectivity during SD, Pearson correlation analysis was used to evaluate the relationship between sleepiness and gFCD/iFCD values in the brain areas mentioned above. The gFCD values of the left superior temporal gyrus and left thalamus were positively correlated with the SSS scores (r = 0.423, p < 0.001, and r = 0.407, p < 0.001, respectively). Sleepiness was positively correlated with iFCD values in the left superior temporal gyrus (r = 0.319, p < 0.001) and negatively correlated with iFCD values in the right superior frontal gyrus (r = −0.408, p < 0.001). Detailed results are visualized in Fig. 3.

To reveal detailed dynamic changes in these regions, the gFCD/iFCD values for each region and session were extracted and are displayed in Fig. 4. The gFCD values in the left superior temporal gyrus and left thalamus, as well as the iFCD values in the left superior temporal gyrus, gradually increased across the five sessions, while the iFCD values in the right superior frontal gyrus gradually decreased.

4. Discussion

In this investigation, five time points (10.00 PM, 12.00 PM, 2.00 AM, 4.00 AM, and 6.00 AM) were selected to dynamically observe changes in brain connectivity using the iFCD. This dynamic research method using multiple time points allowed observation not only of the influence of SD on brain function but also of dynamic shifts in brain activity in relation to sleep pressure and circadian rhythm variation. This approach provides a more comprehensive picture than that given by prior studies that only compared pre- and post-SD states. Our results revealed significant differences in FCD among the frontal, parietal, and temporal lobes, as well as the subcortical thalamus and cingulate. Additionally, the FCD values in these brain regions exhibited a gradually increasing or decreasing trend. Notably, as sleepiness increased over the five sessions the gFCD/iFCD values within the left superior temporal gyrus and the gFCD values within the left thalamus also increased, while the iFCD in the right superior frontal gyrus gradually decreased. The identification of abnormalities in these brain regions could be important for guiding clinical interventions; for example, they may aid target identification in non-invasive stimulation treatments.
4.1 Abnormal Activity of the Default Mode Network and Fronto-Parietal Network during Sleep Deprivation

In our investigation, we identified significant alterations in global and local functional connectivity, predominantly within the middle/superior temporal gyrus and the medial prefrontal cortex. These core regions of the DMN play pivotal roles in an array of behavioral, cognitive, and physiological activities. We noted a progressive increase in gFCD/iFCD values in the left middle temporal gyrus as sleep deprivation advanced. This uptick in activity within
the DMN suggests it could be instrumental in the initiation of drowsiness. Past research, utilizing methodologies like independent component analysis and seed-based correlation analysis, has revealed irregular functional connections within the DMN and between the DMN and other cerebral networks [23]. Building on our prior findings, we observed that during the slowest 20% of reactions in psychomotor vigilance tasks, there was a linear escalation in task-related activation within the DMN [24]. The confluence of these observations underscores the hypothesis that heightened activity in the DMN might be the neural underpinning for the inattention and propensity for mind-wandering exhibited during prolonged wakefulness. Further, these discoveries, set within the broader landscape of DMN research, emphasize the essential role of sleep in modulating this pivotal network and its broader implications for cognitive health and attentional capacities.

In this study, low gFCD/iFCD values were also found in the superior frontal gyrus and superior parietal gyrus, which together comprise the FPN. Functional connectivity in the right superior parietal gyrus decreased linearly during SD, indicating that high-order FPN activities were heavily influenced by circadian rhythms and sleep pressure. Numerous neuroimaging studies have detected abnormal functional activity in the FPN after SD compared with that seen after normal sleep. Drummond et al. [25] observed that the degree of activation within the prefrontal cortex, parietal lobe, and premotor cortex decreased significantly after SD. Similarly, our previous positron emission tomography study revealed that glucose metabolism within the prefrontal cortex, parietal lobe, and thalamus dropped significantly after SD [26]. Moreover, our recent studies indicated that the FPN plays an essential role in maintaining cognitive stability; SD-resistant individuals showed more efficient information transmission than SD-vulnerable individuals [27].

4.2 Abnormal Thalamic Activity during Sleep Deprivation

The thalamus, strategically positioned within the central nervous system, is pivotal in governing the transmission of awakening signals from the brain stem and acts as a linchpin in maintaining the arousal state of an organism [28]. It’s no surprise that a multitude of studies spanning disciplines, from physiology to genetics, have highlighted the thalamus’s multifaceted roles in regulating consciousness, orchestrating both sleep and wake states [29]. Our central observation during sleep deprivation concerns the left Mediodorsal Thalamus (MD thalamus). The intricate connectivity of the MD thalamus with the prefrontal cortex is key to various cognitive functions, ranging from attention to executive decision-making. Under sleep deprivation, these connections could be disrupted, potentially leading to observed cognitive deficits, such as reduced attention and executive function capabilities.

The implication of sleep deprivation on the thalamus is profound. An unstable state of thalamic activity might be incited by sleep deprivation. Enhanced thalamic activity ensures effective transmission of ascending arousal signals from the brain stem to the DMN and FPN networks, preserving their intrinsic antagonistic dynamics [30]. Conversely, a decline in this activity could intercept these arousal signals within the thalamus itself, thereby upsetting the equilibrium between the default and attention networks. However, our study ascertained that thalamic activity con-
Consistently augmented during SD. Such a surge in activity may symbolize a compensatory mechanism, striving to counteract sleep deprivation’s adverse impacts, and thus aiding individuals in preserving normal behavior and performance. In summary, our results accentuate the quintessential role of the thalamus, especially the MD thalamus, in upholding wakefulness during episodes of sleep deprivation.

5. Limitations of This Study

This study had several limitations. A notable limitation of our study pertains to the determination of sample size. The chosen sample size was guided by practical considerations, previous literature, and the feasibility within the study’s timeframe. We did not conduct a formal power analysis or sample size calculation due to the exploratory nature of our research and the lack of specific prior data on which to base such calculations. This omission could influence the robustness and generalizability of our findings, and future studies with larger, predetermined sample sizes based on power analyses might provide more conclusive insights. Second, the participants were primarily local university students aged 19–26 years; therefore; they may not be representative of the general population. Third, despite collecting both heart and breathing rates at during the MRI scans to verify wakefulness, we cannot guarantee the absence of microsleeps or short sleep periods. Future studies should monitor participants’ sleep more accurately using MRI-compatible electroencephalogram (EEG) devices.

While our study provides insights into the neural connectivity alterations during sleep deprivation, the fourth limitation is the absence of direct measures of arousal, which could have played a contributory role in the observed functional connectivity changes. Finally, numerous factors, such as age, gender, work and rest patterns, physical fitness, mental state, and the surrounding environment can influence the sleep state. The reliability of the current results needs to be verified by large, multicenter studies.
6. Conclusions

In this investigation, we applied fMRI combined with FCD metrics to understand the neural impact of SD with a particular focus on the DMN, FPN, and thalamus. Our findings indicated that SD contributes to heightened functional activities in the DMN and thalamus. In contrast, there was a noticeable decrease in the activity within the FPN. These observed functional changes in key brain areas correlated significantly with reported increases in sleepiness, as determined by the SSS. The progressive enhancement in the functional activities of the DMN and the thalamus, juxtaposed with the decline in the FPN, emphasizes the pivotal role these regions play during SD. Understanding these neural dynamics is essential for devising future clinical interventions to address the adverse cognitive and behavioral outcomes of SD.

Availability of Data and Materials

The datasets used and/or analyzed during the current study are available from the corresponding author upon reasonable request.

Author Contributions

YJC, JMZ and YQZ designed the study. PT, YL, SNW and MG collected the data. XLW, BH and FHJ analyzed the data. XLW, BH and SNW drafted the manuscript. JMZ and YJC edited and approved the manuscript. All authors contributed to editorial changes in the manuscript. All authors read and approved the final manuscript. All authors have participated sufficiently in the work and agreed to be accountable for all aspects of the work.
Ethics Approval and Consent to Participate
This investigation was performed in accordance with the Declaration of Helsinki and was approved by the Ethical Committee of the Institute of Xijing Hospital (Approval No.: KY20183005-1). All participants involved in the study have signed the informed consent form, adhering to the ethical guidelines.

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Conflict of Interest
The authors declare no conflict of interest.

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